

**Additional Agenda item No: 161.1 :**

**Sub : Application for Letter of Approval (LoA) by M/s. LTIMindtree Ltd in DLF SEZ, Kolkata**

A new application have been received from M/s LTIMindtree Ltd. through SEZ on-line for service of IT/ITEs vide application no.112300002080 dated 25.04.2023 for setting up a new unit at DLF SEZ, Rajarhat, Kolkata ,

The above said application has been processed and the details of the documentation status of the firm are as below:

Documentation status of the IIR are as below:				
Sl. No	Issues		Observation of ADC (Prasanta Kumar Panda)	
1	i.	New Unit Application Request ID submitted through sez online	Yes Request ID No. 112300002080	
	ii.	a.	Whether downloaded signed application has been Uploaded into sez online along with other relevant documents.	yes
		b.	Whether the Common Seal has been embossed on the last Page Application after signing of authorized Director(s)/ Signatory(ies).	NO
		c.	Whether all pages of physical copy of the downloaded Application have been signed by authorised Director(s)/Signatory(ies) with proper Stamped.	Digitally signed and uploaded in SEZ online system
		d.	Whether, an Undertaking to fulfill the applicable environmental and pollution control norms is given.  (However, it may be obtained before issuing LOA/ or before accepting BLUT.)	NA
		e.	Whether, there are any shortfall of document with reference to information given therein the Application.	No
2.	i.	Whether, the Applicant(s) is/are duly authorized by the Board of Directors or otherwise.	YES	
	ii.	Whether, a copy of Board Resolution is attached with the Application authorizing the Applicant(s) to submit this Application and whose Specimen Signature(s) have also been duly authenticated.	NO	
3.	Whether, online payment of Rs.5000/- in favour of "Pay & Accounts Officer, Ministry of Commerce & Industry, Department		YES	

		of Commerce" payable at Bharatkosh" is made and supporting document has been given.	
4.	i.	Whether, Affidavit, in proper format, on non-judicial stamp paper of Rs. 100/- duly notarized having embossed with Common Seal of the Company/Firm.	Not given
	ii.	Whether Original Affidavit has been submitted. (Original required)	No
	iii.	Whether the said Affidavit (Legal Undertaking) is Stappled. (Stappled Notarized documents are not accepted)	NA
	iv.	Whether the said Affidavit (Legal Undertaking) has been executed on non-judicial stamp paper of Rs. 100 bought in the State where the SEZ/Unit is located and notarized by a Notary Public registered in the concerned State in which concerned SEZ falls.	NA
	iv.	Whether the Common Seal has been embossed on the Affidavit.	NA
5.	i.	Whether constitution of the applicant Company/Firm is given. (Pub. Ltd. Company/ Pvt. Ltd. Company)/ Proprietorship/Partnership/Others.)	Public Limited Company
	ii.	Whether, there is clearly mentioning of Manufacturing (M)/ Services (S)/ Warehousing (W).	Services
	iii.	Whether, a Copy of TAN/PAN Card of Firm/Company is given.	yes
	iv.	Whether, a copy of Importer-Exporter Code (IEC) is enclosed.	yes
	v.	Whether, a copy of Certificate issued by MCA mentioning therein the CIN of the Company is enclosed.	yes
	vi.	Whether, a copy of GST Reg. Cert. is enclosed.	No
	v.	Whether, E-mail address of the Company/Firm is given.	yes
	vi.	Whether, self-certified copy of lease deed in support of Registered/Head Office address of the applicant Company/Firm is attached.	ROC attached
	vii.	Whether, the Registered/Head Office address falls under the jurisdiction of FSEZ	No
	viii.	The Name of State under which the Registered/Head Office address falls.	Mumbai Maharashtra
7.	i.	Whether, Promoters/Directors bio-data (incorporating their background, professional qualification and experience etc) is given.	No
	ii.	Whether copies of Residential address/ Identity proof of Directors/Promoters of the Company/Firm are enclosed.	yes
		(Required, if having those.) Passport:	

(PAN No-AJPPR8763M-Raghunath R Ramaiah)		PAN Card:	YES
Aadhar: [Aadhar No. 961205510344 Address: 4 <sup>th</sup> D Cross, KTG College, Srigandanagar, Bangalore, North Bangalore, Bengaluru State: Karnataka, 560091 Mob. 9739061259]		Aadhar:	Submitted
(EIC No.....) (Required, if having those.)		Voter ID:	Not Submitted
(DL No.....) (Required, if having those.)		Driving License:	Not Submitted
Anil.sakaleshapura@ltimindtree.com (Compulsory)		Email:	mentioned in Form F
(Compulsory)		Mob. No.	YES 9739061259
		Telephone No.	8067065068
iii.	a. Whether, DINs of Directors are mentioned therein the Application and other relevant documents.		yes
	b. Whether, the Authenticated documents showing the current Shareholding details of applicant Company/ Firm are given.		yes
8.	i. Whether, copies of IT returns for last three years - along with annexure /computation sheet) in respect of all Directors/ Partners/Proprietor, as the case may be are enclosed, in case of New Company/Firm.		yes
	ii. Whether, copies of COMPLETE audited Balance Sheet of the Company/Firm for last three years are enclosed, if a Company/ Firm is already incorporated/ working.  (Financial status of Directors/ Proprietors should be sound.)		yes
	iii. Whether, there is any mentioning of any exemption from Income Tax Deptt. is being claimed, the details under which section and whether the Income Tax Deptt. has disallowed exemption at any time.  (May be sought from the Unit in due course.)		no
	iv. Whether there is mentioning of any penalty imposed by the Income Tax Deptt and whether the complete details have been submitted.		no



		(May be sought from the Unit in due course.)	
9.	i.	Whether, Company / Firm Profile & Vision is given.	No
	ii.	Whether, Complete/Detailed Project Report incorporating information on the project & giving therein feasibility report, Flow-Chart including details on which basis export projections have been worked out.	No. it is a IT service unit
	iii.	Whether, there are mentioning of in the application about-	
	a.	Manufacturing/Services	Services
	b.	ITC HS Code of proposed activities	998314/842
	c.	process flow chart	998314/842
	d.	cost of the project and means of finance with break up details	no
	e.	projected profitability	yes
	f.	statement and bifurcated figures of foreign exchange outgo & Value addition details (in case of gems & Jewellery units& Tea) in their proposal.	yes
	g.	Project Cost: Capital Goods, Working Capital, Raw Material &Manpower with other Administrative Costs.	NA
	iv.	Whether the proposal is showing the value addition earning.	given
	v.	Whether, a separate list of export and import item with their ITC(HS) Codes and raw material with cost break up corresponding to the requirement shown in Application. (It is required to be submitted)	NFE +ve
	vi.	Whether, a separate lists of imported & indigenous capital goods and raw material with cost break up corresponding to the requirement shown in application.	No
vii.	Whether, a copy of Buy-back agreement/marketing tie up/orders received, if any, is enclosed.	No	
viii.	Whether, any details of transaction with sister concerns raising issue of transfer pricing, if any, are given.	NO	
ix.	Whether, the total years of operations and in which line of business are given.	yes	
x.	Whether, a copy of details of present activities of the applicant Company/Firm is enclosed.	List of existing units in SED and STPI	
xi.	a.	Whether the Bank Details with Account Number of the Company/ Firm has been submitted with authenticated documents.  [Bank details & A/C No. with authenticated documents]	yes

		b.	Whether, AD Bank Details with Current Account has been submitted with authenticated documents.  (Authenticated document has to be ensured before accepting BLUT.)	yes
	xii.		Whether, the details of Investment have been given. [Authorised: Rs.82745 lakhs; Subscribed: Rs.2957 lakhs- & Paid up Cap:2957 lakhs]  (Whether the Company is a Listed/Unlisted Company)	yes
	xiii.		Whether, a copy of sanction letter/provisional approval letter from Bank/Financial Institution in support of the Loan/ Financial Assistance, if any, for the proposed project is enclosed.  (Match the Project Cost with the documents supporting financially soundness of existing Company/Directors/Proprietors.)	Not required.
	xiv.		Whether the proposal meets with the Positive NFE	yes
	xv.	a.	Whether a copy of Memorandum & Articles of Association ( M & AoA ) in case of Pvt. Ltd. or Ltd. Company is enclosed.	yes
		b.	Whether, a copy of Board Resolution (also giving the specimen signature of authorized director/person) in case of company and copy of power of attorney/authority in case of partnership firms is enclosed.	yes
		c.	Whether, a copy of Form-32/D.I.R.-12 of appointment of Directors (other than the first Directors as mentioned in M&AoA) of company. In case of cessation of first Director(s), Form-32/D.I.R.-12 showing their cessation may also be submitted. (Required to be submitted along with the Application)	yes
		d.	Whether, a copy of Registered Partnership deed in case of partnership Firm.	NA
		e.	Whether, the Authenticated documents showing the current Shareholding details of applicant Company/ Firm are given.	yes
10.	i.		Whether, the Name/Din of said Directors/Promoters are therein the Fraudulent List of Unit. If Yes, please give the details.	No
	ii.		Whether the Name/Din of said Directors/Promoters are same for another Unit in FSEZ.	YES

	If Yes, please give the details.	
iii.	Whether, it is confirmed that the Name/Din of said Directors/Promoters are same for another Unit in other SEZ. If Yes, please give the details.	yes
iv.	Whether, the IEC of the Company is in the Denied Entity List (DEL) of DGFT.	no
v.	Whether then applicant or any of the Partner/Director who are also Partners/Directors or another company or firm its associate concerns are being proceeded against or have been debarred from getting any license/letter of Intent/Letter of Permission under Foreign Trade (Development and regulation) Act, 1992 or Foreign Exchange Management Act, 1999 or Customs Act, 1962 or Central Excise Act, 1944 (Refer Para XII(ii) or FORM 'F' of SEZ Rules, 2006.  (A Legal Undertaking may be obtained in due course.)	no
a.	Whether, there are any shortfall of the documents/copies supporting the information given therein the Application.	no
b.	Other Issues.  (Photos/Signatures/quality of documents attached etc.)	satisfactory

Submitted for deliberation and decision of Unit Approval Committee please.